

# Genesis Counseling Services, Ltd.

One South Main St. \* P.O. Box 8010 \* Janesville, WI 53547-8010 \* 608-757-0404 \* Fax 608-757-2319

www.genescounselingservices.com

## Child/Adolescent Questionnaire

**Client Name:** \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ Zip: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Age: \_\_\_\_\_

Name of Mother: \_\_\_\_\_

Name of Father: \_\_\_\_\_

Names and ages of siblings: \_\_\_\_\_

\_\_\_\_\_

**Client resides with:** \_\_\_\_\_

Relationship to client: \_\_\_\_\_

Preferred means of contact:

Home Phone: \_\_\_\_\_

Cell Phone: \_\_\_\_\_

Work Phone: \_\_\_\_\_

**Student:**  Yes  No

Name of school: \_\_\_\_\_

Grade: \_\_\_\_\_

**Does client have a Legal Representative/Guardian?**

**Does client have a Foster Parent/Caretaker?**

**Does client have a Payee?**

**Does client have a Primary Physician?**  Yes  No

If yes, please provide name, clinic & phone: \_\_\_\_\_

**Does client have a Social Worker?**  Yes  No

If yes, please provide name & phone: \_\_\_\_\_

**Has client taken medications in the past 12 months?**  Yes  No

If yes, please list: \_\_\_\_\_

**Has client received mental health treatment in the past 12 months?**  Yes  No

If yes, please describe: \_\_\_\_\_

\_\_\_\_\_  
Client/Representative Signature / Date

**Primary Insurance:** \_\_\_\_\_

**(Please present card to office staff)**

Cardholder's Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Relationship to Client: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone: \_\_\_\_\_

Employer: \_\_\_\_\_

**Secondary Insurance:** \_\_\_\_\_

**(Please present card to office staff)**

Cardholder's Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Relationship to Client: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone: \_\_\_\_\_

Employer: \_\_\_\_\_

Yes  No If yes, see back

Yes  No If yes, see back

Yes  No If yes, see back

\_\_\_\_\_  
Office Staff Signature / Date

**Legal Representative/Guardian:**

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Phone Number: \_\_\_\_\_

**Must sign intake paperwork**

**Foster Parent/ Caretaker:**

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Phone Number: \_\_\_\_\_

**Will receive reminder phone calls**

**Payee:**

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Phone Number: \_\_\_\_\_

**Will receive monthly statements**

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## Fee for Service Contract

### Financial Policy:

The client/legal representative is responsible for payment of all fees for services provided according to the following fee structure:

<b>Psychiatrist / Nurse Prescriber:</b>	<b>1 ½ Hour</b> \$400.00	<b>1 Hour</b> \$300.00	<b>½ Hour</b> \$200.00	<b>¼ Hour</b> \$100.00
<b>Psychologist:</b>	<b>53 to 67 min.</b> \$200.00	<b>38 to 52 min.</b> \$180.00	<b>16 to 37 min</b> \$100.00	
<b>Masters Level Therapist:</b>	\$180.00	\$160.00	\$90.00	
<b>Groups:</b>	\$60.00			

Clients are billed according to the above stated fee structure. **Uninsured, under-insured, high-deductible and HMO-restricted clients are eligible for discounted fees.** If you meet one of these criteria, please tell our office staff previous to or at the time of your first appointment, and you will be provided a private pay contract. Private payments are expected at the time the client checks in for their appointment.

### Insurance:

As a service to our clients, claims will be filed with your insurance company at no charge. It is your responsibility to contact your insurance company to determine if your insurance will cover outpatient mental health and if you have deductible, copayments and if prior authorization is required for payment. If you have a deductible or if your insurance pays only a portion of the total fee, you are responsible for the balance. Genesis cannot accept responsibility for collecting from your insurance or for negotiating a settlement on a disputed claim. Payment on the balance will be expected within 30 days, or arrangements must be made for monthly payment toward the balance. It is up to the client or legal representative to make such arrangements.

### Payment of Fees:

Co-payment fees are due at the time of service. Other charges are to be paid upon receipt of your bill. If payments are not made in a timely fashion, Genesis reserves the right to seek legal means to secure reimbursement. For your convenience, we will send you a monthly statement regarding charges for services rendered by Genesis. If you note any discrepancies on that statement, please immediately contact our business office at 608-757-0404. A \$50.00 service charge plus bank fees will apply for any returned checks.

### Minor Children:

The parent or legal representative, who brings a minor child to Genesis and signs at the bottom of this form, will be held responsible for any part of the bill not paid by insurance. As a service to you, we will file a claim with any insurance company on which the minor is covered.

### Cancellations/No-Shows:

**If you need to cancel your appointment, you must do so at least 24 hours in advance.** This will allow us to fill that appointment time. If we are not notified 24 hours prior to your appointment, you may be charged a \$100.00 fee for that hour. We know that there are emergencies due to circumstances beyond your control. If this happens, please notify us as soon as possible. Note that TWO no-shows may be cause for termination of treatment at Genesis Counseling Services. If that occurs, you will not be able to schedule for a period of 120 days. As a courtesy, Genesis attempts to make reminder calls regarding current appointments; however, there are times when this may not occur. Ultimately, it is your responsibility to keep your appointments.

**I understand and agree to pay for services provided according to the above fee structure. I authorize payment of medical benefits, as described on the insurance form, directly to Genesis Counseling Services. I understand that this will include a diagnosis.**

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Client/Representative Signature / Date

---

Office Staff Signature / Date

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## AUTHORIZATION TO RELEASE INFORMATION

I HEREBY AUTHORIZE AND REQUEST GENESIS STAFF:  To Release To  To Obtain From  Have Telephone Contact Only

Client Name	DOB	Agency/Facility/Individual	Relationship
Street Address		Street Address	
City, State, ZIP		City, State, ZIP	
Phone Number	Alternate Number	Phone Number	Fax Number

\*\* In compliance with Wisconsin Statutes, which require special permission to release otherwise privileged information (as indicated by *patient's initials*) please release information pertaining to:

\_\_\_\_\_ MENTAL HEALTH                      \_\_\_\_\_ SUBSTANCE ABUSE                      \_\_\_\_\_ HIV STATUS

### **Specific Information Requested:** (please check all that apply)

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Psycho-Social Assessment            | <input type="checkbox"/> Substance Use Assessment      | <input type="checkbox"/> Treatment Progress Notes |
| <input type="checkbox"/> Psychological Assessment/Evaluation | <input type="checkbox"/> Treatment Plan(s) and Reviews | <input type="checkbox"/> Appointment Confirmation |
| <input type="checkbox"/> Psychiatric Assessment/Evaluation   | <input type="checkbox"/> Legal Status/Court Records    | <input type="checkbox"/> Medical History          |
| <input type="checkbox"/> Medication(s) and Med Profile       | <input type="checkbox"/> Lab Data/Test Results         | <input type="checkbox"/> School Records           |
| <input type="checkbox"/> Discharge Summary                   | <input type="checkbox"/> Other: (Specify) _____        |   |

**Dates of service to be released** From: \_\_\_\_\_ To: \_\_\_\_\_

\*\*If no Dates listed, please provide information for the past 2 years

### **Purpose for disclosure:** (please check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Further Care                   | <input type="checkbox"/> To Coordinate Care/Service | <input type="checkbox"/> Litigation               |
| <input type="checkbox"/> Insurance Eligibility/Benefits | <input type="checkbox"/> Claims Resolution          | <input type="checkbox"/> Disability Determination |
| <input type="checkbox"/> Verify Treatment Compliance    | <input type="checkbox"/> Medication Verification    | <input type="checkbox"/> Personal Reasons         |
| <input type="checkbox"/> Obtain Collateral Information  | <input type="checkbox"/> Other: (Specify) _____     |   |

- I understand that I have the right to a copy of this form and inspect the information which is to be released and that I may be charged a fee for record copies.
- I further understand that the records contain information regarding the patient's medical condition and treatment, which possibly could include information pertaining to substance use or abuse and/or mental health status and/or AIDS or HIV related illness.
- It is further understood that I have the right to withdraw this authorization at any time. I understand that if I withdraw this authorization I must do so in writing, except to the extent that action has been taken and/or others have already relied on it.
- I understand that any disclosure of information carries with it the potential for an unauthorized re-disclosure and may not be protected by federal confidentiality rules.
- I understand that authorizing the disclosure of this information is voluntary,
  - I can refuse to sign this authorization.
  - I need not sign this form in order to assure treatment.
  - I may experience consequences for not signing this authorization if referred from a mandated agency.
- Unless otherwise withdrawn, this authorization will expire on the following date \_\_\_\_\_. If I fail to specify expiration date this authorization will expire in *one year* from the date signed.
- Photocopy/facsimile copy is as valid as the original document.

Signature of Patient (includes minors 14 years of age and over) \_\_\_\_\_ Date Signed \_\_\_\_\_

Signature of Parent/Guardian/Personal Representative\*\* \_\_\_\_\_ (Relationship) \_\_\_\_\_ Date Signed \_\_\_\_\_

Signature of Witness \_\_\_\_\_ Date Signed \_\_\_\_\_

\*\*If signed by a Legal Representative, complete the following: (Please provide appropriate documentation if applicable)

1. Individual is:  A minor     Legally incompetent or incapacitated     Deceased  
2. Legal authority:  Parent     Legal guardian     Next of kin/executor of deceased     Activated POA for Health Care

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## CLIENT RIGHTS, RESPONSIBILITIES, AND INFORMED CONSENT

Welcome to *Genesis Counseling Services*.

### MISSION STATEMENT

*Genesis Counseling Services*, in partnership with its clients, seeks to provide comprehensive services to promote change, accountability, and empowerment for individuals, families, and communities.

### CORE VALUES

We believe that all persons are valuable and unique. We are passionate about helping each client reach their full potential. We are committed to continued personal and professional development. We believe that proactivity is the best approach, whenever possible. We operate as a team - whether we are together or apart. We are sensitive to the needs, opinions, and concerns of others. We apply the highest standards of professional and personal ethics, while recognizing cultural differences. We are proud of what we do together and individually. We believe that everyone is capable of change and growth. We believe that small changes lead to big changes. We believe in imperfection. We trust ourselves and each other.

### DESCRIPTION

*Genesis Counseling Services* is licensed to provide outpatient mental health and substance abuse treatment. Providers are under the supervision of a licensed psychologist or psychiatrist. During the first session, the therapist will review:

- The services, treatment alternatives and recommendations;
- Possible outcomes, including benefits and side effects;
- Approximate duration and desired outcome;
- The means by which a consumer may obtain emergency mental health services;
- The clinic's discharge policy, including circumstances that may result in involuntary discharge.

### Client Rights and Responsibilities

- At *Genesis Counseling Services*, we respect the personal and unique needs and values of each client.
- We consider our clients to be partners in their mental health care.
- Our expectation is that the observance of Client's Rights will support mutual cooperation and greater satisfaction for clients and staff.

### As a Client you have the right:

1. To know the name, identity, and professional status of all persons providing services to you and to know the staff member who is primarily responsible for your family's services.
2. To receive complete and current information concerning your assessment and/or treatment service plan in terms that you can understand.
3. To accept or refuse any service offered or treatment, and to be informed of the consequences of any such refusal. If there is conflict between you and your parent/guardian regarding your exercise of this right, you and parent/guardian may need to participate in conflict resolution procedures. If there is a conflict between you and your referring agency (DFCS, DJJ, probation), the referring agency will advise you of such consequences of lack of cooperation.
4. To receive and review the Notice of Policies and Practices to Protect the Privacy of your Health Information.
5. To supportive care including appropriate management and support of your psychological and spiritual needs without regard to sex, race, sexual orientation, age, pregnancy, religious beliefs, national origin, and physical disability.
6. To assistance in obtaining consultation with another therapist regarding your care when needed. This consultation may result in additional cost to you or your family.
7. To know if your care involves research or experimental methods of treatment. You have the right to consent or refuse to participate.
8. To voice complaints regarding your care, to have those complaints reviewed and, when possible, resolved without fear of any harm or penalty to yourself. You have the right to be informed of the response to your complaint.
9. To expect reasonable continuity of care. You have the right to participate in the discharge planning process.
10. To be informed of any policies, procedures, rules or regulations applicable to you.
11. Freedom from financial or other exploitation.
12. Freedom from retaliation, humiliation, and neglect and/or abuse.

### As a client it is your responsibility:

It is reasonable to expect and encourage clients to assume reasonable responsibilities. Greater individual involvement by clients in their care increase the likelihood of achieving the best outcomes. Those responsibilities include:

1. To provide all personal and family health information needed to provide you with the appropriate services. This includes open and honest disclosure of family/individual social and mental health history and reporting any feelings of harming yourself or others.
2. To participate to the best of your ability in making decisions about your mental health treatment, and to comply with the agreed upon plan of service.
3. To ask questions when you do not understand any information or instructions.
4. To be considerate of others receiving and providing services.
5. To observe facility policies and procedures, including those regarding smoking.
6. To participate in the formulation of your Treatment Plan in discussion with the clinical staff.
7. To follow the Treatment Plan and take any prescribed medication in order to advance in treatment.
8. To provide the administrative staff with all required information to maintain proper and correct records.
9. To keep your appointments and be on time.
10. To treat your Therapist or Paraprofessional with dignity and respect.
11. To inform the administrative staff of any changes in insurance plans, eligibility, or employment status.
12. To pay for services as necessary, including co-pays and deductible, and to provide necessary information for the administrative staff to successfully seek reimbursement of insured services.

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## Additional Disclosures:

1. Due to ethical and legal guidelines, all staff members are mandated to report any indications, belief, or suspicion of harm to oneself, harm to others, or intent to harm self or others. This includes suspicions of child abuse or neglect and elder abuse or neglect.
2. If you have been referred by another agency, *Genesis Counseling Services* may obtain a release of information to share treatment progress, treatment plan, and participation in services with the referring agency. Case updates, client contact summaries, and assessment and intake information may be provided to the referring agency. The referring agency may be made aware of case plan recommendations and treatment progress throughout the course of treatment with *Genesis Counseling Services*.
3. Counseling and psychiatric services are often sought by individuals and families to alleviate difficulties that are occurring. As counseling services progress, clients may find themselves feeling worse rather than better. Understand that this is a common problem experienced by many. As problems that have never been discussed before are now being talked about during counseling, it can stir up difficult emotions. If you experience this, it is important to talk about this openly with your counselor. Your counselor will help you manage these feelings in a supportive manner. Often times, things get worse before they get better. Know that the entire treatment team is here to support you, should you feel worse before feeling better.

## Complaints and Appeals

Clients have the right to a fair and efficient process for resolving disputes and differences with provider. Clients have the right to communicate freely with the Therapist, Paraprofessional, supervisor, and Clinical Director. All clients should be given a Client's Rights Brochure, by their Therapist or Paraprofessional and/or referred to Maria Hanson, the agency Client Right Specialist, at 608-446-8957.

## Financial Disclosure:

You hereby give permission to *Genesis Counseling Services* to file any insurance claims with third party payer sources and provide/receive information necessary to complete these transactions, including the ability to appeal any denial of claims for services rendered and to actively seek compensation for services as necessary. Additionally, you understand that while *Genesis Counseling Services* will seek reimbursement through insurance or other payer sources, you (or parent/guardian) are ultimately responsible for payment for these services, or may be responsible for a co-pay as designated by the payer source. You agree that it is your responsibility to provide accurate and updated information regarding alternate payer sources (such as insurance) to *Genesis Counseling Services* and assist the agency with recouping filed claims as necessary. In the event that a claim is denied, you understand that you will be responsible for the payment for service.

## Termination

1. At any time, *Genesis Counseling Services* or you may terminate services.
2. Services will automatically be terminated after a 90-day lapse in treatment (30 days for substance abuse).
3. Two no-shows within a 12-month period may be cause for termination of treatment.

## Right to have access to self-help and advocacy support services

Clients can receive advocacy support services through the Department of Human Resources - Client Advocacy Department that can be reached at 770-720-3610.

## Choice of Providers

Clients have the right to a choice of provider (when available) in order to ensure access to appropriate high quality care. By signing this document, you acknowledge that you have chosen *Genesis Counseling Services* as your provider.

## Access to Emergency Services

Clients have the right to access services 24 hours/day, 7 days/week in case of an emergency. A therapist is available between 8:30 AM and 7:30 PM Monday through Thursday and 8:30 AM and 4:00 PM Friday during business hours. After hours, should an emergency arise, please call 911 for imminent issues. For all other crises or emergency calls, you may contact the main office at 608-757-0404 and listen to the prompts for our afterhours answering service. A therapist is on call and can be reached through this service for all times outside of business hours.

## Respect and Nondiscrimination

Clients must not be discriminated against in the delivery of services based on race, ethnicity, national origin, religion, sex, age, mental or physical disability, sexual orientation, genetic information, or source of payment. Clients and their families have the right to be treated with courtesy, respect and dignity at all times. Limitation to access to service does not infer or result in discrimination. These limitations (i.e. inability to effectively treat psychotic participants with active hallucinations, delusions, patients under the influence of drugs during the majority face-to-face contacts, families without stable housing, autistic patients and children and adolescents without parent/guardian, sexual offenders who are predatory) are discussed with referring agencies and clients/families.

## Disclosure Regarding Third-Party Access to Communications

Please know that with the use of electronic communication methods, such as email, texting, online video, and possibly others, there are various technicians and administrators who maintain these services and may have access to the content of those communications. In some cases, these accesses are more likely than in others.

Of special consideration are work email addresses. If you use your work email to communicate with your therapist, your employer may have access to these email communications. There may be similar issues involved in school email or other email accounts associated with organizations that you are affiliated with. Additionally, people with access to your computer, mobile phone, and/or other devices may also have access to your email and/or text messages. Please take a moment to contemplate the risks involved if any of these persons were to access the messages exchanged.

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## Social Media Policy

Please refrain from contacting any employee of *Genesis Counseling Services* using social media messaging systems such as Facebook, Messenger or Twitter. These methods have very poor security, and we are not prepared to watch them closely for important messages from clients.

*Genesis Counseling Services* staff members, including all clinical and administrative staff, are prohibited from initiating or accepting friend or other connection requests from current or former clients on any social media networking site (Facebook, LinkedIn, etc.). Adding clients as friends or contacts on these sites can compromise client/patient confidentiality. It may also blur the boundaries of the therapeutic relationship.

## Genesis Counseling Services Social Media Pages

*Genesis Counseling Services* maintains a social media page to share information about our practice and health issues that may be of interest to the general public. Page administrators or staff are also prohibited from interacting with current or former clients on any social media platform. While we welcome associates and clients alike to view the social media sites, read and share articles posted there, etc., *Genesis Counseling Services* has no control over the privacy of any of the social media sites. The privacy terms and policies of the various social media sites do apply, and we encourage associates and clients to review those policies and understand that their name may become visible and identifiable. *Genesis Counseling Services* has no control over and accepts no responsibility for privacy on any social media site.

NOTE: Though clients and/or former clients may follow/like/etc. the *Genesis Counseling Services* page, *Genesis Counseling Services* and its associates are prohibited from following current or former clients' social media pages, blogs, websites, etc. *Genesis Counseling Services* only follows/likes/etc. other health professionals and/or groups. Neither *Genesis Counseling Services* nor its associates will solicit likes, follows, testimonials, etc. from current or former clients.

I acknowledge that I have read the above *Genesis Counseling Services* Client Rights and information sheet, the privacy notice, and have had the opportunity to receive a copy of the same.

I give permission to *Genesis Counseling Services* to file any insurance claim with third party payer sources and provide/receive information necessary to complete these transactions. *Genesis Counseling Services* has the ability to appeal any denial of claims for services rendered on my behalf. I assign all payment to *Genesis Counseling Services* for services rendered and claims filed.

I understand that while *Genesis Counseling Services* will seek reimbursement through insurance or other payer sources, I (or parent/guardian) am ultimately responsible for payment for these services or may be responsible for a co-pay as designated by the payer source. I agree that it is my responsibility to provide accurate and updated information regarding alternative payer sources (such as primary insurance) or changes in payer sources to *Genesis Counseling Services* in order to assist with filing claims for services rendered and appealing these claims, as necessary. In the event that a claim is denied, I understand that I may be responsible for the full payment for the services rendered.

I understand that *Genesis Counseling Services* makes every attempt to coordinate my mental health/substance abuse treatment and care with my primary care physician. I understand that *Genesis Counseling Services* will notify my primary care physician that I am receiving services at *Genesis Counseling Services* only upon my expressed, written permission. Additional treatment information, such as treatment plan, updates, progress, medical records, recommendations, and medications will only be released upon my expressed, written permission to *Genesis Counseling Services*.

By signing this document, I acknowledge that I understand the information contained herein and that I give consent for interns to participate in, and provide services related to, my or my child's treatment, under appropriate supervision as described.

By signing this form, I consent to the care and treatment as is prescribed by *Genesis Counseling Services* for myself; if I am the parent/guardian of a minor child under the age of 18, by signing this form, I consent to the care and treatment as is prescribed by *Genesis Counseling Services*. I understand that the purpose of treatment practices will be explained to me and is subject to my agreement.

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Printed Client Name

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Client (Parent or Guardian) Signature

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Date

---

Office Staff Signature

---

Date

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## HIPAA Notice of Privacy Practices Acknowledgement

I understand that, under the Health Insurance Portability & Accountability Act of 1996 (HIPM), I have certain rights to privacy regarding my protected health information. I understand that this information can and will be used to:

- Conduct, plan, and direct my treatment and follow-up among the multiple health care providers who may be involved in that treatment directly or indirectly.
  - Obtain payment from third party payers.
  - Conduct normal health care operations such as quality assessments and professional certifications.
- ✓ I understand that this office has the has the right to change its HIPAA Notice of Privacy Practices from time to time as necessitated by changes in HIPAA.
- ✓ I have the right, at any time, to contact this office at the address above to obtain a current copy of their HIPAA Notice of Privacy Practices.
- ✓ I understand that I may request, in writing, that you restrict how my private information is used or disclosed to carry out treatment, payment, or health care operations. I also understand that you are not required to agree to my requested restrictions, but if you do agree that you are bound to abide by such restrictions.

By signing this form, I am acknowledging that I have received, read, and understand the HIPAA Notice of Privacy Practices containing a more complete and detailed description of the uses and disclosures of my health information.

\_\_\_\_\_  
Printed Client Name

\_\_\_\_\_  
Relationship to Client

\_\_\_\_\_  
Client (Parent or Representative) Signature

\_\_\_\_\_  
Date

~~~~~  
**OFFICE USE ONLY**

I attempted to obtain the patient's signature in acknowledgement of receipt of the HIPAA Notice of Privacy Practices, but was unable to do so as documented below:

- The patient (parent or representative) refused or declined to sign.
- Due to an emergency situation, it was not possible to obtain an acknowledgement.
- We weren't able to communicate with the patient or their parent/representative.
- Other (Please provide specific details):

\_\_\_\_\_  
Office Staff Signature

\_\_\_\_\_  
Date



# DSM-5 Parent/Guardian-Rated Level 1 Cross-Cutting Symptom Measure—Child Age 6–17

Child's Name: \_\_\_\_\_

Age: \_\_\_\_\_

Sex:  Male  Female

Date: \_\_\_\_\_

Relationship with the child: \_\_\_\_\_

**Instructions** (to the parent or guardian of child): The questions below ask about things that might have bothered your child. For each question, circle the number that best describes how much (or how often) your child has been bothered by each problem during the **past TWO (2) WEEKS**.

|                                                                                  |                                                                                                                                                                                                           | None<br>Not at<br>all        | Slight<br>Rare, less<br>than a day<br>or two | Mild<br>Several<br>days             | Moderate<br>More than<br>half the<br>days | Severe<br>Nearly<br>every<br>day | Highest<br>Domain<br>Score<br>(clinician) |
|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------------|-------------------------------------|-------------------------------------------|----------------------------------|-------------------------------------------|
| During the past <b>TWO (2) WEEKS</b> , how much (or how often) has your child... |                                                                                                                                                                                                           |                              |                                              |                                     |                                           |                                  |                                           |
| I.                                                                               | 1. Complained of stomachaches, headaches, or other aches and pains?                                                                                                                                       | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 2. Said he/she was worried about his/her health or about getting sick?                                                                                                                                    | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| II.                                                                              | 3. Had problems sleeping—that is, trouble falling asleep, staying asleep, or waking up too early?                                                                                                         | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| III.                                                                             | 4. Had problems paying attention when he/she was in class or doing his/her homework or reading a book or playing a game?                                                                                  | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| IV.                                                                              | 5. Had less fun doing things than he/she used to?                                                                                                                                                         | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 6. Seemed sad or depressed for several hours?                                                                                                                                                             | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| V. &<br>VI.                                                                      | 7. Seemed more irritated or easily annoyed than usual?                                                                                                                                                    | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 8. Seemed angry or lost his/her temper?                                                                                                                                                                   | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| VII.                                                                             | 9. Started lots more projects than usual or did more risky things than usual?                                                                                                                             | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 10. Slept less than usual for him/her, but still had lots of energy?                                                                                                                                      | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| VIII.                                                                            | 11. Said he/she felt nervous, anxious, or scared?                                                                                                                                                         | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 12. Not been able to stop worrying?                                                                                                                                                                       | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 13. Said he/she couldn't do things he/she wanted to or should have done, because they made him/her feel nervous?                                                                                          | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| IX.                                                                              | 14. Said that he/she heard voices—when there was no one there—speaking about him/her or telling him/her what to do or saying bad things to him/her?                                                       | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 15. Said that he/she had a vision when he/she was completely awake—that is, saw something or someone that no one else could see?                                                                          | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| X.                                                                               | 16. Said that he/she had thoughts that kept coming into his/her mind that he/she would do something bad or that something bad would happen to him/her or to someone else?                                 | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 17. Said he/she felt the need to check on certain things over and over again, like whether a door was locked or whether the stove was turned off?                                                         | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 18. Seemed to worry a lot about things he/she touched being dirty or having germs or being poisoned?                                                                                                      | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
|                                                                                  | 19. Said that he/she had to do things in a certain way, like counting or saying special things out loud, in order to keep something bad from happening?                                                   | 0                            | 1                                            | 2                                   | 3                                         | 4                                |                                           |
| In the past <b>TWO (2) WEEKS</b> , has your child ...                            |                                                                                                                                                                                                           |                              |                                              |                                     |                                           |                                  |                                           |
| XI.                                                                              | 20. Had an alcoholic beverage (beer, wine, liquor, etc.)?                                                                                                                                                 | <input type="checkbox"/> Yes | <input type="checkbox"/> No                  | <input type="checkbox"/> Don't Know |                                           |                                  |                                           |
|                                                                                  | 21. Smoked a cigarette, a cigar, or pipe, or used snuff or chewing tobacco?                                                                                                                               | <input type="checkbox"/> Yes | <input type="checkbox"/> No                  | <input type="checkbox"/> Don't Know |                                           |                                  |                                           |
|                                                                                  | 22. Used drugs like marijuana, cocaine or crack, club drugs (like ecstasy), hallucinogens (like LSD), heroin, inhalants or solvents (like glue), or methamphetamine (like speed)?                         | <input type="checkbox"/> Yes | <input type="checkbox"/> No                  | <input type="checkbox"/> Don't Know |                                           |                                  |                                           |
|                                                                                  | 23. Used any medicine without a doctor's prescription (e.g., painkillers [like Vicodin], stimulants [like Ritalin or Adderall], sedatives or tranquilizers [like sleeping pills or Valium], or steroids)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No                  | <input type="checkbox"/> Don't Know |                                           |                                  |                                           |
| XII.                                                                             | 24. In the past <b>TWO (2) WEEKS</b> , has he/she talked about wanting to kill himself/herself or about wanting to commit suicide?                                                                        | <input type="checkbox"/> Yes | <input type="checkbox"/> No                  | <input type="checkbox"/> Don't Know |                                           |                                  |                                           |
|                                                                                  | 25. Has he/she EVER tried to kill himself/herself?                                                                                                                                                        | <input type="checkbox"/> Yes | <input type="checkbox"/> No                  | <input type="checkbox"/> Don't Know |                                           |                                  |                                           |

## Instructions to Clinicians

The DSM-5 Parent/Guardian-Rated Level 1 Cross-Cutting Symptom Measure—Child Age 6–17 assesses mental health domains that are important across psychiatric diagnoses. It is intended to help clinicians identify additional areas of inquiry that may have significant impact on the child’s treatment and prognosis. The measure may also be used to track changes in the child’s symptom presentation over time.

The measure consists of 25 questions that assess 12 psychiatric domains, including depression, anger, irritability, mania, anxiety, somatic symptoms, inattention, suicidal ideation/attempt, psychosis, sleep disturbance, repetitive thoughts and behaviors, and substance use. Each item asks the parent or guardian to rate how much (or how often) his or her child has been bothered by the specific symptom during the past 2 weeks. The measure was found to be clinically useful and had good test-retest reliability in the DSM-5 Field Trials in pediatric clinical samples across the United States.

## Scoring and Interpretation

Nineteen of the 25 items on the measure are each rated on a 5-point scale (0=none or not at all; 1=slight or rare, less than a day or two; 2=mild or several days; 3=moderate or more than half the days; and 4=severe or nearly every day). The suicidal ideation, suicide attempt, and substance abuse items are each rated on a “Yes, No, or Don’t Know” scale. The score on each item within a domain should be reviewed. Because additional inquiry is based on the highest score on any item within a domain, the clinician is asked to indicate that score in the “Highest Domain Score” column. Table 1 (below) outlines threshold scores that may be used to guide further inquiry for each domain. With the exception of inattention and psychosis, a rating of mild (i.e., 2) or greater on any item within a domain that is scored on the 5-point scale may serve as a guide for additional inquiry and follow-up to determine if a more detailed assessment for that domain is needed. A parent or guardian’s rating of “Don’t Know” on the suicidal ideation, suicide attempt, and any of the substance use items, especially for a child age 11–17, may be used as a guide for additional inquiry of the issues with the child. The DSM-5 Level 2 Cross-Cutting Symptom measures in Table 1 may be used as a resource to provide more detailed information on the symptoms associated with some of the Level 1 domains.

## Frequency of Use

To track change in the child’s symptom presentation over time, the measure may be completed at regular intervals as clinically indicated, depending on the stability of the child’s symptoms and treatment status, and preferably by the same parent or guardian. Consistently high scores on a particular domain may indicate significant and problematic symptoms for the child that might warrant further assessment, treatment, and follow-up. Clinical judgment should guide decision making.

**Table 1: DSM-5 Parent/Guardian-Rated Level 1 Cross-Cutting Symptom Measure—Child Age 6–17: domains, thresholds for further inquiry, and associated Level 2 measures**

| Domain | Domain Name                            | Threshold to guide further inquiry | DSM-5 Level 2 Cross-Cutting Symptom Measure available online                                                                                                                  |
|--------|----------------------------------------|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| I.     | Somatic Symptoms                       | Mild or greater                    | LEVEL 2—Somatic Symptom—Parent/Guardian of Child Age 6–17 (Patient Health Questionnaire 15 Somatic Symptom Severity (PHQ-15))                                                 |
| II.    | Sleep Problems                         | Mild or greater                    | LEVEL 2—Sleep Disturbance—Parent/ Guardian of Child Age 6–17 (PROMIS—Sleep Disturbance—Short Form) <sup>1</sup>                                                               |
| III.   | Inattention                            | Slight or greater                  | LEVEL 2—Inattention—Parent/Guardian of Child Age 6–17 (SNAP-IV)                                                                                                               |
| IV.    | Depression                             | Mild or greater                    | LEVEL 2—Depression—Parent/Guardian of Child Age 6–17 (PROMIS Emotional Distress—Depression—Parent Item Bank)                                                                  |
| V.     | Anger                                  | Mild or greater                    | LEVEL 2—Anger—Parent/Guardian of Child Age 6–17 (PROMIS Emotional Distress—Calibrated Anger Measure—Parent)                                                                   |
| VI.    | Irritability                           | Mild or greater                    | LEVEL 2—Irritability—Parent/Guardian of Child Age 6–17 (Affective Reactivity Index)                                                                                           |
| VII.   | Mania                                  | Mild or greater                    | LEVEL 2—Mania—Parent/Guardian of Child Age 6–17 (adapted from the Altman Self-Rating Mania Scale)                                                                             |
| VIII.  | Anxiety                                | Mild or greater                    | LEVEL 2—Anxiety—Parent/Guardian of Child Age 6–17 (adapted from PROMIS Emotional Distress—Anxiety—Parent Item Bank)                                                           |
| IX.    | Psychosis                              | Slight or greater                  | None                                                                                                                                                                          |
| X.     | Repetitive Thoughts and Behaviors      | Mild or greater                    | None                                                                                                                                                                          |
| XI.    | Substance Use                          | Yes/<br>Don’t Know                 | LEVEL 2—Substance Use—Parent/Guardian of Child Age 6–17 (adapted from the NIDA-modified ASSIST)/LEVEL 2—Substance Use—Child Age 11–17 (adapted from the NIDA-modified ASSIST) |
| XII.   | Suicidal Ideation/<br>Suicide Attempts | Yes/<br>Don’t Know                 | None                                                                                                                                                                          |

<sup>1</sup>Not validated for children by the PROMIS group but found to have acceptable test-retest reliability with parent informants in the DSM-5 Field Trial.

## DSM-5 Self-Rated Level 1 Cross-Cutting Symptom Measure—Child Age 11–17

Name: \_\_\_\_\_

Age: \_\_\_\_\_

Sex:  Male  Female

Date: \_\_\_\_\_

**Instructions:** The questions below ask about things that might have bothered you. For each question, circle the number that best describes how much (or how often) you have been bothered by each problem during the **past TWO (2) WEEKS**.

|             |     | None<br>Not at all                                                                                                                                                                                                                           | Slight<br>Rare, less<br>than a day<br>or two | Mild<br>Several<br>days | Moderate<br>More than<br>half the<br>days | Severe<br>Nearly<br>every<br>day | Highest<br>Domain<br>Score<br>(clinician) |                             |   |   |   |  |
|-------------|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------------|-------------------------------------------|----------------------------------|-------------------------------------------|-----------------------------|---|---|---|--|
|             |     | During the past <b>TWO (2) WEEKS</b> , how much (or how often) have you...                                                                                                                                                                   |                                              |                         |                                           |                                  |                                           |                             |   |   |   |  |
| I.          | 1.  | Been bothered by stomachaches, headaches, or other aches and pains?                                                                                                                                                                          |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 2.  | Worried about your health or about getting sick?                                                                                                                                                                                             |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| II.         | 3.  | Been bothered by not being able to fall asleep or stay asleep, or by waking up too early?                                                                                                                                                    |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| III.        | 4.  | Been bothered by not being able to pay attention when you were in class or doing homework or reading a book or playing a game?                                                                                                               |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| IV.         | 5.  | Had less fun doing things than you used to?                                                                                                                                                                                                  |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 6.  | Felt sad or depressed for several hours?                                                                                                                                                                                                     |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| V. &<br>VI. | 7.  | Felt more irritated or easily annoyed than usual?                                                                                                                                                                                            |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 8.  | Felt angry or lost your temper?                                                                                                                                                                                                              |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| VII.        | 9.  | Started lots more projects than usual or done more risky things than usual?                                                                                                                                                                  |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 10. | Slept less than usual but still had a lot of energy?                                                                                                                                                                                         |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| VIII.       | 11. | Felt nervous, anxious, or scared?                                                                                                                                                                                                            |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 12. | Not been able to stop worrying?                                                                                                                                                                                                              |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 13. | Not been able to do things you wanted to or should have done, because they made you feel nervous?                                                                                                                                            |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| IX.         | 14. | Heard voices—when there was no one there—speaking about you or telling you what to do or saying bad things to you?                                                                                                                           |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 15. | Had visions when you were completely awake—that is, seen something or someone that no one else could see?                                                                                                                                    |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
| X.          | 16. | Had thoughts that kept coming into your mind that you would do something bad or that something bad would happen to you or to someone else?                                                                                                   |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 17. | Felt the need to check on certain things over and over again, like whether a door was locked or whether the stove was turned off?                                                                                                            |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 18. | Worried a lot about things you touched being dirty or having germs or being poisoned?                                                                                                                                                        |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             | 19. | Felt you had to do things in a certain way, like counting or saying special things, to keep something bad from happening?                                                                                                                    |                                              |                         |                                           |                                  | 0                                         | 1                           | 2 | 3 | 4 |  |
|             |     | In the past <b>TWO (2) WEEKS</b> , have you...                                                                                                                                                                                               |                                              |                         |                                           |                                  |                                           |                             |   |   |   |  |
| XI.         | 20. | Had an alcoholic beverage (beer, wine, liquor, etc.)?                                                                                                                                                                                        |                                              |                         | <input type="checkbox"/> Yes              |                                  |                                           | <input type="checkbox"/> No |   |   |   |  |
|             | 21. | Smoked a cigarette, a cigar, or pipe, or used snuff or chewing tobacco?                                                                                                                                                                      |                                              |                         | <input type="checkbox"/> Yes              |                                  |                                           | <input type="checkbox"/> No |   |   |   |  |
|             | 22. | Used drugs like marijuana, cocaine or crack, club drugs (like Ecstasy), hallucinogens (like LSD), heroin, inhalants or solvents (like glue), or methamphetamine (like speed)?                                                                |                                              |                         | <input type="checkbox"/> Yes              |                                  |                                           | <input type="checkbox"/> No |   |   |   |  |
|             | 23. | Used any medicine without a doctor's prescription to get high or change the way you feel (e.g., painkillers [like Vicodin], stimulants [like Ritalin or Adderall], sedatives or tranquilizers [like sleeping pills or Valium], or steroids)? |                                              |                         | <input type="checkbox"/> Yes              |                                  |                                           | <input type="checkbox"/> No |   |   |   |  |
| XII.        | 24. | In the last 2 weeks, have you thought about killing yourself or committing suicide?                                                                                                                                                          |                                              |                         | <input type="checkbox"/> Yes              |                                  |                                           | <input type="checkbox"/> No |   |   |   |  |
|             | 25. | Have you EVER tried to kill yourself?                                                                                                                                                                                                        |                                              |                         | <input type="checkbox"/> Yes              |                                  |                                           | <input type="checkbox"/> No |   |   |   |  |

## Instructions to Clinicians

The DSM-5 Level 1 Cross-Cutting Symptom Measure is a self-rated measure that assesses mental health domains that are important across psychiatric diagnoses. It is intended to help clinicians identify additional areas of inquiry that may have significant impact on the child’s treatment and prognosis. In addition, the measure may be used to track changes in the child’s symptom presentation over time.

This child-rated version of the measure consists of 25 questions that assess 12 psychiatric domains, including depression, anger, irritability, mania, anxiety, somatic symptoms, inattention, suicidal ideation/attempt, psychosis, sleep disturbance, repetitive thoughts and behaviors, and substance use. Each item asks the child, age 11–17, to rate how much (or how often) he or she has been bothered by the specific symptom during the past 2 weeks. The measure was found to be clinically useful and had good test-retest reliability in the DSM-5 Field Trials conducted in pediatric clinical samples across the United States.

## Scoring and Interpretation

Nineteen of the 25 items on the measure are each rated on a 5-point scale (0=none or not at all; 1=slight or rare, less than a day or two; 2=mild or several days; 3=moderate or more than half the days; and 4=severe or nearly every day). The suicidal ideation, suicide attempt, and substance abuse items are each rated on a “Yes or No” scale. The score on each item within a domain should be reviewed. Because additional inquiry is based on the highest score on any item within a domain, the clinician is asked to indicate that score in the “Highest Domain Score” column. Table 1 (below) outlines threshold scores that may be used to guide further inquiry for the domains. With the exception of inattention and psychosis, a rating of mild (i.e., 2) or greater on any item within a domain that is scored on the 5-point scale may serve as a guide for additional inquiry and follow-up to determine if a more detailed assessment for that domain is needed. The DSM-5 Level 2 Cross-Cutting Symptom measures listed in Table 1 may be used as a resource to provide more detailed information on the symptoms associated with some of the Level 1 domains.

## Frequency of Use

To track change in the child’s symptom presentation over time, it is recommended that the measure be completed at regular intervals as clinically indicated, depending on the stability of the child’s symptoms and treatment status. Consistently high scores on a particular domain may indicate significant and problematic symptoms for the child that might warrant further assessment, treatment, and follow-up. Clinical judgment should guide decision making.

**Table 1: DSM-5 Self-Rated Level 1 Cross-Cutting Symptom Measure—Child Age 11–17: domains, thresholds for further inquiry, and associated Level 2 measures**

| Domain | Domain Name                            | Threshold to guide further inquiry | DSM-5 Level 2 Cross-Cutting Symptom Measure available online                                                                                       |
|--------|----------------------------------------|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|
| I.     | Somatic Symptoms                       | Mild or greater                    | LEVEL 2—Somatic Symptom—Child Age 11–17 (Patient Health Questionnaire Somatic Symptom Severity [PHQ-15])                                           |
| II.    | Sleep Problems                         | Mild or greater                    | LEVEL 2—Sleep Disturbance—Child Age 11-17 (PROMIS—Sleep Disturbance—Short Form) <sup>1</sup>                                                       |
| III.   | Inattention                            | Slight or greater                  | None                                                                                                                                               |
| IV.    | Depression                             | Mild or greater                    | LEVEL 2—Depression—Child Age 11–17 (PROMIS Emotional Distress—Depression—Pediatric Item Bank)                                                      |
| V.     | Anger                                  | Mild or greater                    | LEVEL 2—Anger—Child Age 11–17 (PROMIS Emotional Distress—Calibrated Anger Measure—Pediatric)                                                       |
| VI.    | Irritability                           | Mild or greater                    | LEVEL 2—Irritability—Child Age 11–17 (Affective Reactivity Index [ARI])                                                                            |
| VII.   | Mania                                  | Mild or greater                    | LEVEL 2—Mania—Child Age 11–17 (Altman Self-Rating Mania Scale [ASRM])                                                                              |
| VIII.  | Anxiety                                | Mild or greater                    | LEVEL 2—Anxiety—Child Age 11–17 (PROMIS Emotional Distress—Anxiety—Pediatric Item Bank)                                                            |
| IX.    | Psychosis                              | Slight or greater                  | None                                                                                                                                               |
| X.     | Repetitive Thoughts & Behaviors        | Mild or greater                    | LEVEL 2—Repetitive Thoughts and Behaviors—Child 11–17 (adapted from the Children’s Florida Obsessive-Compulsive Inventory [C-FOCI] Severity Scale) |
| XI.    | Substance Use                          | Yes/<br>Don’t Know                 | LEVEL 2—Substance Use—Child Age 11–17 (adapted from the NIDA-modified ASSIST)                                                                      |
| XII.   | Suicidal Ideation/<br>Suicide Attempts | Yes/<br>Don’t Know                 | None                                                                                                                                               |

<sup>1</sup>Not validated for children by the PROMIS group but found to have acceptable test-retest reliability with child informants in the DSM-5 Field Trial.